

# Stratifying the potential local transmission of Zika in municipalities of Antioquia, Colombia

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## Abstract

**OBJECTIVE** To stratify and understand the potential transmission processes of Zika virus in Colombia, in order to effectively address the efforts on surveillance and disease control.

**METHODS** We compare  $R_0$  of Zika for municipalities based on data from the regional surveillance system of Antioquia, Colombia. The basic reproduction number ( $R_0$ ) and its 95% confidence intervals were estimated from an SIR model with implicit vector dynamics, in terms of recovered individuals in each time unit, using an approximate solution. These parameters were estimated fitting the solution of the model to the daily cumulative frequency of each Zika case according to symptoms onset date relative to the index case reported to the local surveillance system.

**RESULTS**  $R_0$  was estimated for 20 municipalities with a median of 30 000 inhabitants, all located less than 2200 m above sea level. The reported cases ranged from 17 to 347 between these municipalities within 4 months (January to April of 2016). The results suggest that 15 municipalities had a high transmission potential ( $R_0 > 1$ ), whereas in five municipality transmissions were potentially not sustaining ( $R_0 < 1$ ), although the upper bound of the confidence interval of the  $R_0$  for 3 of these 5 was greater than one, indicating the possibility of an outbreak later on.

**CONCLUSION** The study identified high-risk municipalities ( $R_0 > 1$ ) and provide a technique to optimise surveillance and control of Zika. Health authorities should promote the collection, analysis, modelling and sharing of anonymous data onto individual cases to estimate  $R_0$ .

**keywords** Zika, basic reproductive number, mathematical model, Colombia

## Introduction

In May 2015, PAHO/WHO issued an epidemiological alert for the Zika epidemic in the Americas, after confirmation of autochthonous transmission in Brazil. On 1 February 2016, WHO declared the Zika epidemic in the Americas a *Public Health Emergency of International Concern* [1, 2].

Zika is a disease spread by an arbovirus of the genus *Flavivirus* (family Flaviviridae) and is transmitted by the *Aedes aegypti* mosquito, which is also vector of dengue and chikungunya [3]. In 2015–2016, because of its high incidence, the Zika epidemic was considered a public health problem in the Americas due to its rapid spread compared to previous epidemics in Africa and Asia, frequent occurrence of severe neurological complications

(Guillain–Barré syndrome) and occurrence of congenital syndromes related to Zika infection [4].

Around 40 countries/territories in the Americas reported autochthonous transmission in 2015–2016. By July 2016, Brazil had reported 78% of confirmed cases (64 311/81 914), 38.7% of suspected cases (161 241/416 958) and 1656 cases of congenital syndrome with suggestive evidence of congenital infection and 255 laboratory-confirmed cases. Colombia was the second country in Americas to report a large number of cases: 10.4% ( $n = 8506$ ) of confirmed cases, 21.1% of suspected cases ( $n = 87\ 844$ ), 11 confirmed cases of microcephaly related to Zika and 102 cases under study. Puerto Rico (2.6% of confirmed cases) and Venezuela (2% of confirmed cases and 11.8% of suspected cases) also report high incidence [5].

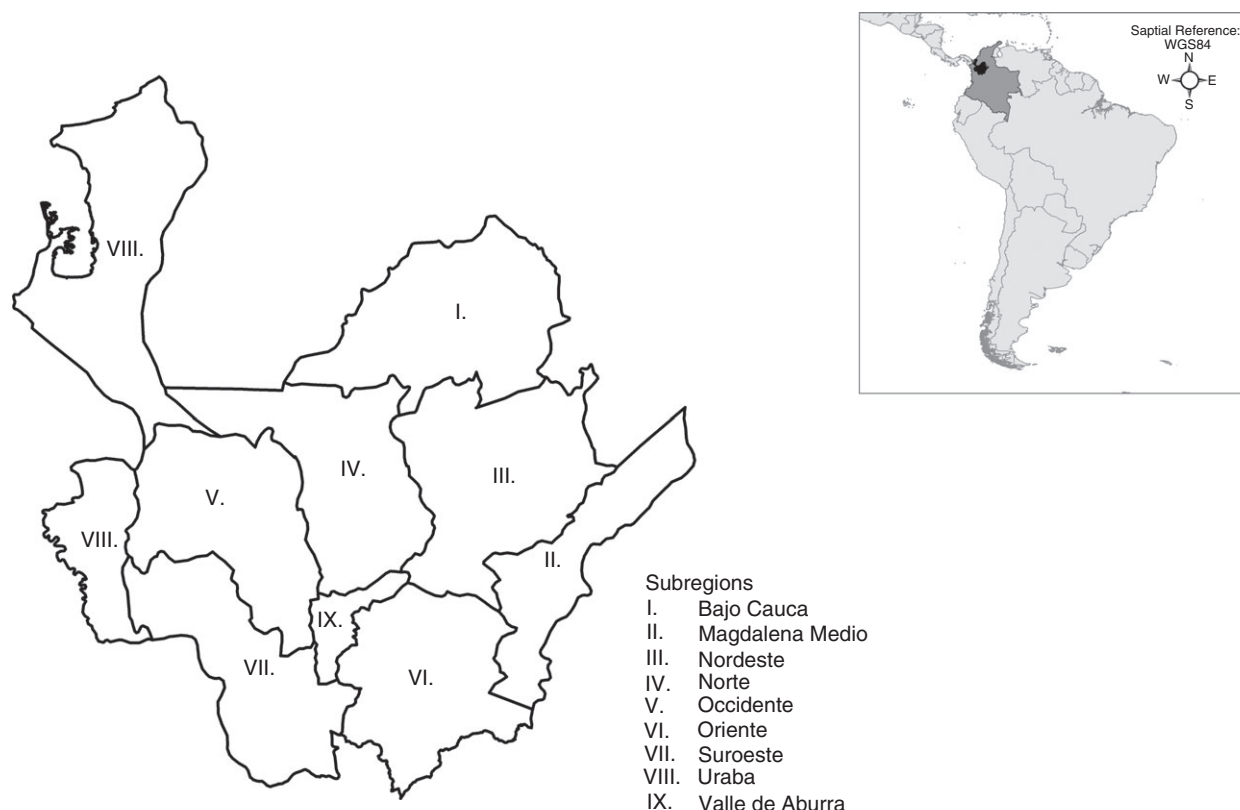
PAHO/WHO recommended countries to establish integrated control programme to reduce virus transmission, including the identification of areas with high risk of transmission [2]. In this study, we estimate the basic reproductive number ( $R_0$ ), an indicator of potential for local Zika transmission in Antioquia.  $R_0$  is formally defined as the average number of secondary cases generated by an infected individual when introduced into a completely susceptible population during his infectious period [6].

Antioquia in northwestern Colombia has more than 6 million inhabitants living in 125 municipalities divided into nine subregions (Figure 1). *Aedes aegypti* is distributed in more than 80% of its land area due to its tropical climate. Antioquia reported the highest number of municipalities with laboratory-confirmed cases at week 28 of 2016 [7] and it had a rapid spread of the disease in some subregions and cases presented more aggressive symptoms than from other arboviruses such as joint pain, conjunctivitis and emerging neurological conditions.

PAHO established some entomological indices to determine the risk of dengue transmission [8]; however, these

indices are not accurate. For example, Focks [9] found a weak relationship between larval indices and production of adult mosquitoes, responsible for transmission. It has also been determined that less than 20% of larvae deposits are usually responsible for over 80% of *Aedes* adults [10]. Similar results have been reported by Bowman [11] and Boyer [12]. Particularly the latter suggest that traditional infestation indexes of *Aedes* should not be considered indicators of epidemiological risk. In Medellín, the capital of Antioquia, a relationship between traditional entomological indexes and the incidence of the disease was not observed for dengue epidemic registered in 2010 [13].

Given the poor relationship between the transmission of dengue and entomological indicators, this paper estimated  $R_0$  from an SIR model with implicit vector dynamics, based on the work of Pandey [14] and Bailey [15]. The SIR model proposed in our paper recognises the importance of vector transmission summarising, in the beta transmission parameter, the cycle of vector–human transmission. We use epidemiological surveillance data to establish the potential for local transmission, estimating  $R_0$  for the ongoing Zika epidemic.



**Figure 1** Subregions of Antioquia, Colombia.

## Methods

We estimated and compared the basic reproductive number of Zika in municipalities of Antioquia, Colombia. The solution to an SIR model with implicit vector dynamic in the transmission term was adjusted to the daily cumulative frequency of Zika cases, reported to the epidemiological surveillance system of Antioquia ('SIVI-GILA') by municipality.

The approximate solution of a model was obtained as follows. First, the vector SIR model with explicit vector dynamics was reduced to a simple SIR model with an implicit vector dynamics via simple assumptions on the transmission terms. The resulting effective simple SIR model incorporated the transmission parameter, which is a weighted average of vector-to-host and host-to-vector transmission parameters of the original vector SIR model. That is, the transmission term  $\beta$  includes the average number of new infected humans generated by an infectious mosquito and the average number of new infected mosquitoes generated by a single infected human (Equation 17 in Appendix 1).

The original vector SIR model consisted of five coupled differential equations which described explicitly the transmission of the disease from vectors-to-humans and humans-to-vectors. The human population was classified into susceptible, infected and removed individuals, and the vector population was classified into susceptible and infected mosquitoes.

The second, analytical solution of the vector SIR model was difficult to compute. In Appendix 1, we show that an analytic solution is possible if the model is simplified under a certain approximation, which assumes that the number of infected mosquitoes remains approximately constant during the epidemic. Such an assumption is reasonable under certain specific climatic (i.e., rainfall, temperature) and ecological conditions in the region. For the resulting effective simple SIR model, it was possible to use the well-known approximated analytical solution proposed by Kermack and Mackendrick [16] (Equation 18 in Appendix 1).

We assumed that the temporal evolution of the number of removed human individuals is directly observed from the notifications of the epidemiological surveillance. The epidemic parameters were assumed constants over time, and the effect of a possible spatial migration was assumed negligible.

Using the simplest model, which assumed a closed population during the transmission of Zika, the effect on the transmission of asymptomatic individuals and the possibility of sexual or vertical transmission are not

considered. Homogeneous mixing between humans and vectors is assumed in such a way that the classical mass action law is applicable.

The deterministic model is used because the population of municipalities is large enough and the effect of stochastic processes on the model parameter estimates is extremely low.

## Data sources

Data were obtained from the anonymous database of the epidemiological surveillance system ('SIVIGILA') of Antioquia, which contains daily data onto the onset date of symptoms of each patient by municipality. Case reporting is required by all hospitals or clinics. Case definition and data management are based on the guidelines of the Ministry of Health and Social Protection and the National Institute of Health of Colombia (NIH). We included only Antioquia's residents in the analysis. Suspected cases, laboratory confirmed and confirmed clinical criteria were included. Cases were reported from the first 4 months of 2016 when the peak of the epidemic occurred.

## Parameter estimation

The epidemic parameters were estimated using NLREG® (version 6.5 – P. Sherrod, TN, USA) by fitting the mathematical expression of recovered individuals per unit time  $R(t)$  to the daily cumulative number of reported Zika cases according to symptoms onset date relative to the index case (Appendix 1 Equation 18; Appendix 2).

NLREG® is a flexible, stable and easy to handle software. It is a non-linear regression procedure which uses a least squares method for fitting. In order to perform the estimation, it is necessary to introduce some 'seed' or *ad hoc* values for the relevant parameters. These values are neither initial values of the model parameters nor initial states as needed in the case of a dynamical system. Hence, there is no need for any sensitivity analysis on it. The code used to estimate the parameters is included in Appendix 1.

We verified that the time between the index case and the successive case was approximately the extrinsic incubation period, which is around 3 weeks [17]. The seed values of the parameters were taken as  $\gamma_h = 0.02$ ,  $R_0 = 20$ ,  $s = 100$  with their 95% confidence interval. Goodness of fit test was used to compare observed and estimated data.

Ethics approval for the study was not required, as all analysis used depersonalised routine notification data.

## Results

From 1 January 2016 to 11 April 2016 (i.e., week 1–15), 1935 cases of Zika were reported to the Secretary of Health in 74 of the 125 municipalities of Antioquia and nine subregions. Of these, 1864 cases were from Antioquia residents (96.3%) in municipalities that were located below 2200 metres above sea level. The population of the municipalities varied between 14 000 and 2.5 million, with a median of 30 000 inhabitants per municipality (Table 1).

1841 cases were analysed, after discarding 23 cases due to other diagnoses. Laboratory confirmed cases accounted for less than 1% of total cases (=15/1841). Data on the onset date of symptoms were available in 99.5% of cases. Laboratory-confirmed cases data were used only for small number of municipalities. Due the rarity of laboratory-confirmed cases, data based on suspected and clinical confirmed cases were used for 11 municipalities and only suspected cases were used for seven municipalities (Table 1).

Cases were primarily women (67.1%), aged 15–44 years old (63.7%) and residents in urban areas (81.8%). The age and gender distributions were found to be similar between municipalities Table 1. We estimated  $R_0$  for 20 municipalities that had reported more than 15 cases in the analysed period. Most index cases began showing symptoms in January 2016. Outbreaks were reported during 43–98 days (median 79 days) in the municipalities. A median of 38 cumulative cases was reported by municipality, with a minimum of 17 cases in *Sopetrán* and maximum of 347 cases in *Medellin*. Also, the next two highest reporting of the cumulative frequency of cases was found in *Apartadó* ( $n = 311$ ) and *Turbo* ( $n = 228$ ).

As shown in Figures 2–3, the cumulative number of cases was growing abruptly in *Caceres* and *Medellin*, while in 12 municipalities it stabilised around a fixed number. In the remaining six municipalities, the cumulative curve showed a slow growth initially, eventually approaching to a stable value of cumulative number of cases.

The adjusted coefficient of multiple determination ranged between 96.27% and 99.97%, obtaining a suitable adjustment of accumulated cases per day and the number of recovered individuals per unit time  $R(t)$  estimated from the model according to equation 18 (Figures 2–3).

The median  $R_0$  was estimated as 1.12. The  $R_0$  upper 95% confidence interval was  $>1$  in all 15 municipalities.

The greatest potential for transmission occurred in 14 municipalities, with a maximum  $R_0 > 1$  of 2.2 (CI 95% 1.54–2.86) in *Nechí* and a maximum value of 56.38 in *Caceres* (Figure 4). The last two municipalities are part

of the *Bajo Cauca* subregion, where the epidemic was growing during the analysis, as can be seen in Figure 2.

In the subregion of Valle of Aburrá, Medellín,  $R_0 = 22.2$ , followed by the subregion of Urabá, where six municipalities had  $R_0 > 1$ .

Potential to maintain transmission locally was lower in five municipalities, where the estimated  $R_0$  was  $<1$ , but the upper confidence interval included some  $R_0$  values  $>1$  during the analysed period (see Figures 3–4).

## Discussion

Mathematical models have been developed to help understand the epidemiology of vector-borne diseases and support decision making. Some of these models explicitly include dynamics of vector population. In this study, we developed a procedure to estimate the reproduction number ( $R_0$ ) of a vector-borne disease via analytical expression of cumulative cases that includes a weighted average of effective vector-to-host and host-to-vector transmission. The vector-borne model used in this article is first reduced to the effective direct transmission model before explicitly finding its approximate solution under certain assumption and estimating  $R_0$ . The approximation of the solution to the model provided a simple relationship between the reported cases in the field and the estimated cases from the model without explicitly incorporating all the entomological variables and data, following the work of Pandey [14] and Bailey [15].

Models that explicitly include entomological variables are complex due to the requirement of greater number of parameters, including parameters describing the infection cycle between mosquito and humans, for which data may be difficult to obtain. Hence, simple models with relatively few parameters and with consistency with real data are often preferred, as in the current analysis. However, to formulate a model that incorporates all the complexities of Zika are mathematically more complicated, making it difficult to derive algebraic expression of  $R_0$ , to obtain approximated analytical solutions and to perform regression procedures that let us estimate the epidemiological parameters.

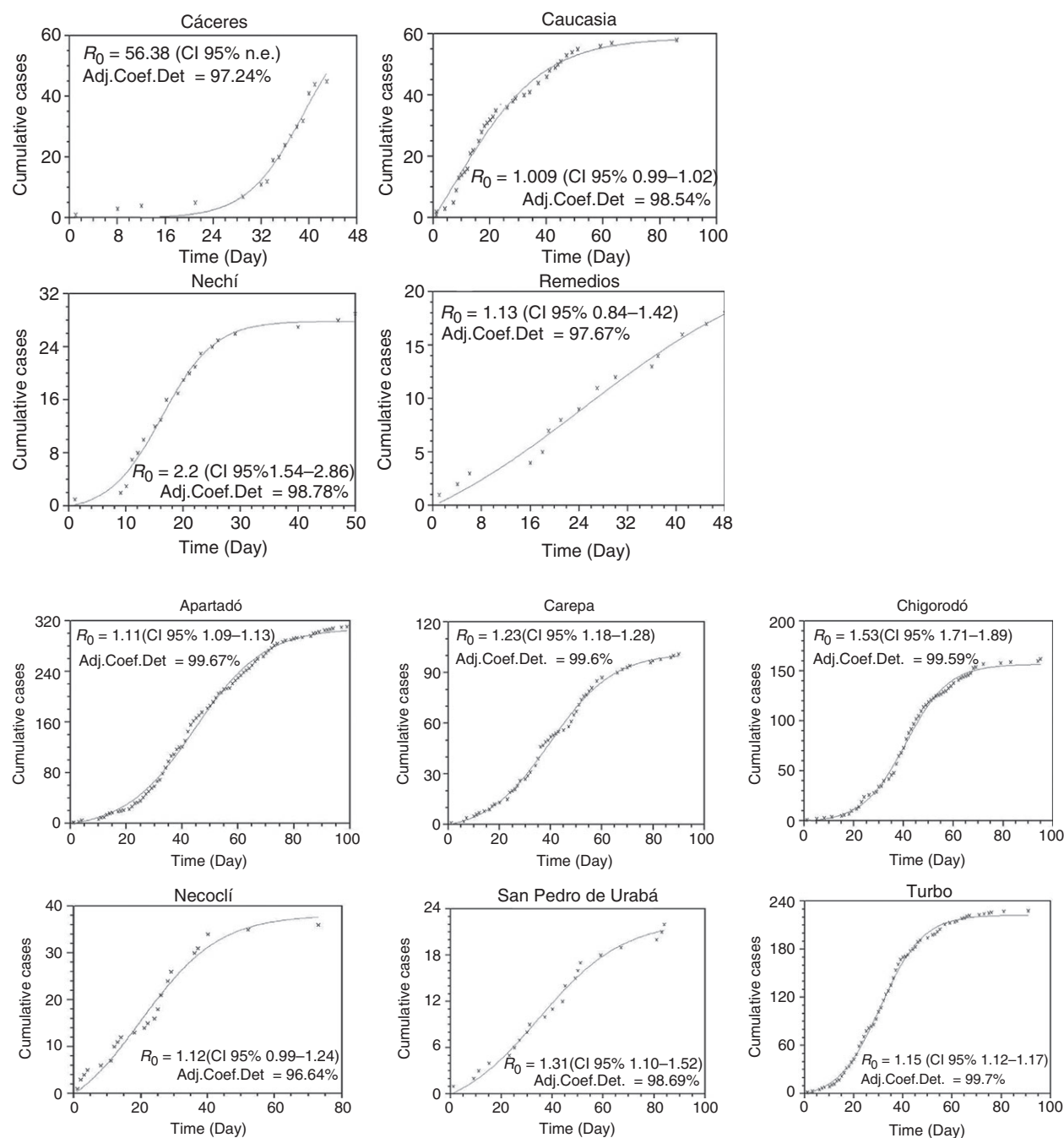
However, we would like to note that this study considers certain strict modelling assumptions including constant vector density. For the current study, this assumption may be reasonable as the analysis covered only ~3 months, but it may not hold for other vector-borne diseases or if we consider other regions with significant fluctuations in climatic variables. Models with explicit vector dynamics and parameters depending on weather conditions (i.e. rainfall and temperature) may be required if an outbreak lasts longer.

**Table 1** Zika cases of residents in Antioquia, Colombia, 2016 by subregion and municipality

Subregion/ Municipality	Metres above sea level	Population	Type of cases	Dates of index case and last case reported	Total cases	Ratio urban/ rural	Age – number (per cent)		
							<15	15–44	45 +
Bajo Cauca									
Cáceres	200	38 850	Suspect	03/01/2016 15/02/2016	45	1.0	14 (31.1)	21 (46.6)	10 (22.2)
Nechí	30	27 238	Suspect	30/01/2016 20/03/2016	29	4.8	9 (31.3)	12 (41.4)	8 (17.8)
Caucasia	150	114 902	Suspect & Confirmed	10/01/2016 05/04/2016	59	13.8	11 (18.6)	37 (66.1)	11 (18.6)
Zaragoza	150	31 129	Suspect	29/01/2016 07/04/2016	49	7.2	7 (14.3)	34 (69.4)	8 (16.3)
Valle Aburrá									
Medellín	1538	2 486 723	Suspect & Confirmed	12/09/2015 09/04/2016	351	13.0	35 (10.0)	231 (65.8)	85 (24.2)
Bello	1450	464 614	Suspect & Confirmed	11/01/2016 01/04/2016	32	7.0	10 (31.3)	15 (46.8)	7 (21.9)
Envigado	1575	227 644	Suspect & Confirmed	01/01/2016 03/04/2016	21	6.0	2 (9.5)	12 (57.1)	7 (33.3)
Itagüí	1550	270 903	Suspect & Confirmed	13/01/2016 03/04/2016	40	3.4	3 (8.1)	31 (83.8)	3 (8.1)
Urabá									
Chigorodó	34	78 148	Suspect & Confirmed	02/01/2016 06/04/2016	164	17.2	31 (18.9)	105 (64.1)	28 (17.0)
San Pedro de Urabá	200	31 539	Suspect & Confirmed	06/01/2016 30/03/2016	23	2.3	4 (17.4)	9 (39.1)	10 (43.5)
Carepa	28	57 220	Suspect & Confirmed	07/01/2016 06/04/2016	102	4.1	14 (13.7)	75 (73.5)	13 (12.7)
Turbo	2	163 525	Suspect & Confirmed	02/01/2016 02/04/2016	228	4.4	44 (19.3)	153 (67.1)	31 (13.6)
Necoclí	8	63 991	Suspect & Confirmed	25/01/2016 07/04/2016	36	1.0	5 (13.9)	22 (61.1)	9 (25.0)
Apartadó	30	183 716	Suspect & Confirmed	02/01/2016 10/04/2016	315	7.1	64 (20.3)	196 (62.2)	55 (17.5)
Mutatá	75	21 077	Suspect & Confirmed	26/01/2016 03/04/2016	19	1.7	6 (31.6)	11 (57.9)	2 (10.5)
Nordeste									
Remedios	700	29 898	Suspect	16/02/2016 04/04/2016	18	3.5	3 (16.7)	15 (83.3)	0 (0.0)
Magdalena Medio									
Puerto Berrío	125	47 717	Suspect	31/01/2016 02/04/2016	61	3.7	10 (16.4)	34 (55.7)	17 (27.9)
Puerto Triunfo	150	20 483	Suspect	06/01/2016 02/04/2016	27	0.6	7 (25.9)	17 (63.0)	3 (11.1)
Occidente									
Sopetrán	750	14 821	Suspect	15/01/2016 01/04/2016	17	1.8	0 (0.0)	8 (47.0)	9 (52.9)
Oriente									
Rionegro	2125	122 231	Suspect & Confirmed	26/12/2015 02/04/2016	18	1.3	0 (0.0)	15 (83.3)	3 (16.7)

Our work has the advantage of using data on reported cases to stratify the potential transmission at municipalities during an ongoing outbreak. As mentioned by King

*et al.* [18], it is preferable to estimate  $R_0$  using disaggregated data. Thus, available data on the date of symptom onset of each patient are needed to implicitly model the



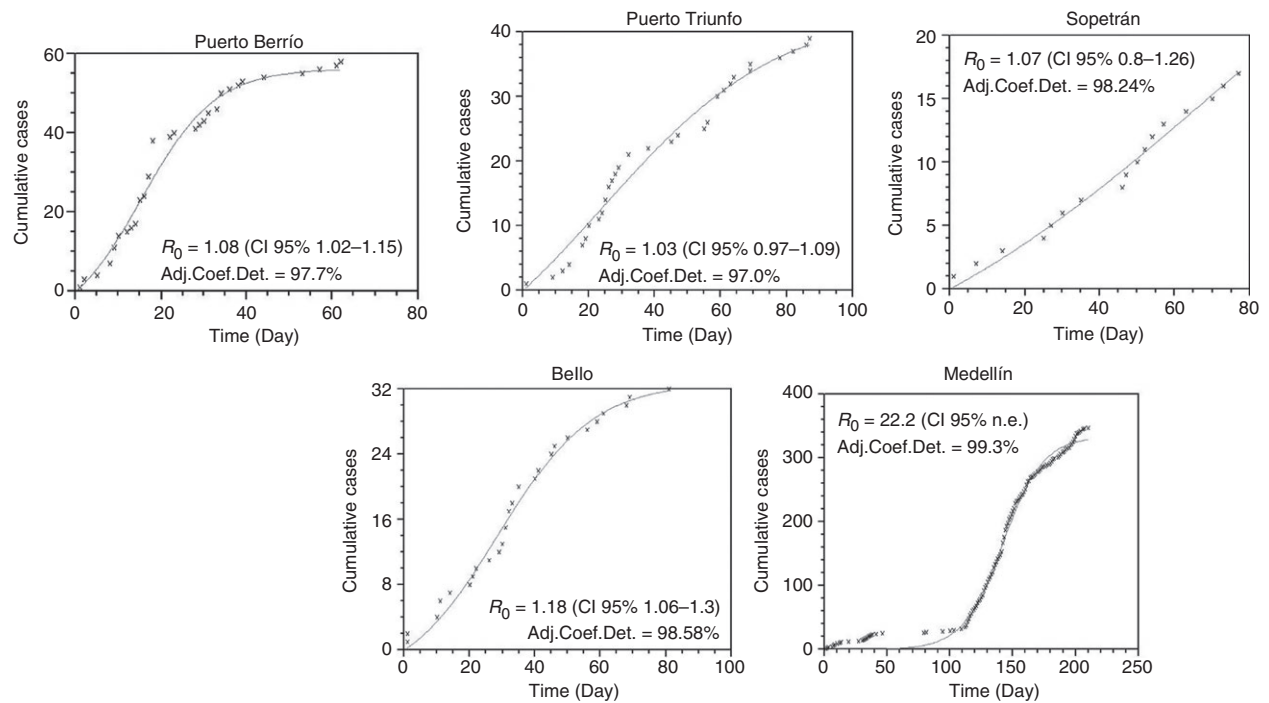
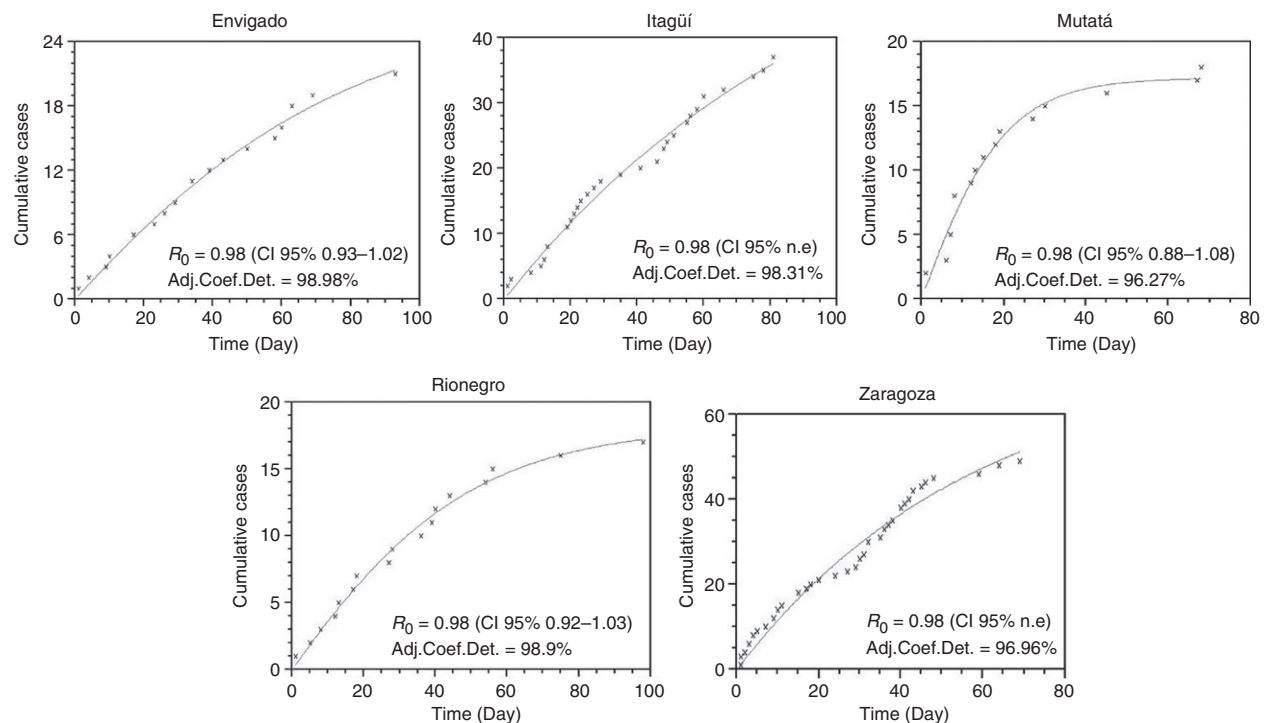
**Figure 2** High Potential transmission ( $R_0 > 1$ ) of Zika by municipality Antioquia, Colombia, 2016.

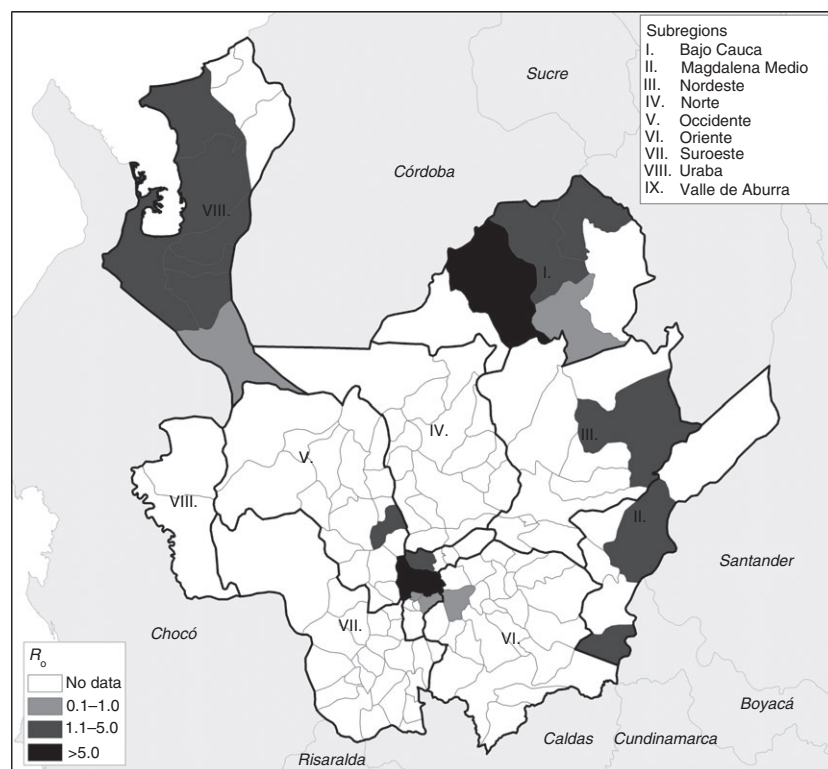
dynamics of transmission. These data are usually collected in epidemiological surveillance but not analysed or disseminated and shared.

Various studies have analysed the dynamics of transmission of vector-borne diseases by explicitly taking into

account the spatial dissemination aspect [19]. We excluded the spatial link because of the short time scale. Typically, the transmission of a disease may depend on climatic, environmental and socio-economic factors that may go beyond the administrative limits of the



**Figure 2** continued.**Figure 3** Less potential transmission ( $R_0 < 1$ ) of Zika by municipality Antioquia, Colombia, 2016.



**Figure 4** Reproductive number of Zika in municipalities of Antioquia, Colombia.

municipalities or regions. However, the choice of factors to be taken in the study should depend on the goal of the work, types of data that could be readily obtained from the field and availability of mathematical tools capable of estimating required quantities.

It should be emphasised that estimations of  $R_0$  values provide approximations to the local transmission dynamics of Zika. As illustrated here,  $R_0$  is used basically to compare the transmission potential between municipalities and accordingly, to identify and suggest effective local control measures [20]. Municipalities with higher or lower risk of Zika transmission were identified based on estimated  $R_0$ . There is no ‘gold standard’ to assess the external validity of our results; however, our findings on stratification of potential local transmission coincide with the risk perception of health personnel in charge of epidemiological surveillance (personal communications with Antioquia Secretary of health).

In this study, we used a deterministic model because of large populations in each of the municipalities. Additionally, vector transmission occurring in a municipality as a whole, make the modelling of epidemics in small groups like schools, hospitals, homes or workplaces, a hard task.

Like any other modelling study, this study also has some limitations including only few laboratory-confirmed cases and under-diagnosis or underreporting of cases,

though, some stochasticity capturing measurement errors were included in the fitting procedure.

This work includes the stratification of the potential transmission of Zika for large and small outbreaks, guided by  $R_0$  estimates depending on whether  $R_0$  is bigger or smaller than one. Equation (18) is an approximate solution of our simple SIR model and this solution exists and can be applied for all values of  $R_0$ . In case  $R_0 > 1$ , the equation (18) produces a rising curve or S-shaped logistic function, which asymptotically saturates. If  $R_0 < 1$ , we obtain a curve of monotonically decreasing cases.

The presence of asymptomatic individuals so far estimated at 80% in other contexts [3,21] could affect estimation of  $R_0$  in our model, which assumes that we are counting all infectious cases. In case of a high level of underreporting, inclusion of asymptomatic cases will merely provide high uncertainty in our estimates. Our aim was to use a simple model for an ongoing epidemic of Zika to capture local disease dynamics and compute a rigorous closed form expression to estimate  $R_0$  using limited available data.

It was assumed in this model that each municipality has a closed population, which may be appropriate in the short term, in relation to the relatively short time of occurrence of Zika transmission. We also assumed negligible migration and internal mobility between



municipalities. Moreover, data on disaggregated vital statistics are scarce, and there is no official reporting of internal mobility rates/residence times of the population. In future, we plan to consider the flow of individuals from endemic municipalities to the capital (Medellin) and metropolitan area (Valle of Aburra), mainly due to the difference in socio-economic conditions and work opportunities.

Our  $R_0$  estimates for Zika fall are similar to ranges in the literature. However, the exact comparison of our estimates of  $R_0$  with the reported values in the literature is difficult to carry out because of different models, and assumptions were used by other published studies.

Towers *et al.* [22], used a SEIR/SEI model with vector dynamics for estimating  $R_0$ . They used data from the daily incidence of suspected Zika cases reported in Barranquilla, Colombia from October to November 2015 to estimate the exponential growth rate of an outbreak. In their fitting procedure, they fixed their entomological parameters obtained from other regions in the literature. According to authors,  $R_0$  was estimated to be 3.8 (95% CI 2.4–5.6). The authors used a similar expression as given in equation 17 but some parameters were obtained assuming the epidemic being in its initial exponential phase when interventions are not yet applied. As only data from the beginning of the outbreak were only used, the exponential growth may overestimate the reproduction number. In our model we used Equation 16, a shortened form of Equations 17 and 18 representing the complete course of the epidemic instead of just its initial phase; therefore our method may underestimate the reproduction number.

Other authors also have analysed data from the initial phase of the epidemic based on the exponential growth rate, using phenomenological models. Majumder *et al.* [23], used exponential smoothing models as well as the Incidence Decay and Exponential Adjustment (IDEA) model to estimate  $R_0$  for Colombia and other countries, using the data published by HealthMap and the NIH. They estimated an average  $R_0$  of around 4.82 (range 2.34–8.32). Nishiura *et al.* [24], estimated  $R_0$  from Colombia using maximum likelihood methods. They assumed that the confirmed cases from week 35 of 2015 had an exponential growth. The estimates of  $R_0$  ranged from 3.0 to 6.6.

Rojas *et al.* [25] analysed surveillance data from San Andres and Girardot, Colombia using a maximum likelihood method to fit a chain-binomial model to daily incidence data. The estimated  $R_0$  in San Andres was 1.41 (95% CI: 1.15–1.74) and in Girardot it was 4.61 (95% CI: 4.11–5.16). Hsieh [26] used a phenomenological model to estimate parameters by fitting the Richards model to the weekly reported case data from Colombia

provided by the Pan American Health Organization (PAHO) website. The estimated  $R_0$  ranged from 1.75 (95% CI: 1.34–2.16) to 1.79 (95% CI: 1.29–2.30) in the first wave of cases (week 32–43/2015) and in the second one (week 49/2015–week 16/2016), respectively.

Chowell *et al.* [27] estimated  $R_0$  by calculating the polynomial growth profile of the initial trajectory of the epidemic with data from the daily incidence of Antioquia globally. Assuming a gamma distributed generation interval (average: 14 days S.D 2), they estimated  $R_0$  of 10.3 (CI 95%: 8.3–12.4) in the first generation of Zika to 2.2 (CI 95%: 1.9–2.8) in the second generation. When the exponential distributed generation interval was assumed,  $R_0$  was estimated from 2.8 (CI 95%: 2.4–3.1) to 1.8 (CI 95%: 1.7–2.0) for the two generations, respectively. The latter values were lower than those estimated by Nishiura *et al.* [24], who used weekly data from Colombia, but they are closer to those obtained in our work, for each of the municipalities despite using different models.

Anaya *et al.* [28] estimated  $R_0$  assuming an exponential growth rate model and a gamma distribution for the generation time as described by Chowell *et al.* [27] of daily data of cases reported of Cucuta from 29 June 2015 to 30 July 2016. According to the authors,  $R_0$  ranged between 2.68 (95% CI 2.54–2.67) and 4.57 (95% CI 4.18–5.01).

In this paper, we show that data based on the cumulative incidence give a better model fit than fitting the model to the incidence data. From a practical point of view, incidence data are limited because daily or weekly incidence may change with the confirmation of cases, usually 4 weeks after the notification of cases.

The age and sex distribution of cases of Antioquia are similar to those reported in Colombia [5]. As it has been documented, the tendency of women to consult a practitioner is related to concerns about the risk of having congenital malformations in infected pregnant women. The occurrence of cases primarily in urban areas and in the population aged 15–44 suggest that these populations have high exposure to vector density or are more susceptible to infection.

The results show a greater transmission potential of Zika in municipalities of Bajo Cauca, Uraba subregions and Medellin. The high  $R_0$  in these municipalities is in line with the observed incidence rate, hence it suggests the need to strengthen the capacity of case detection and management as well as integrated vector control programmes.

Our results demonstrate the advantage of having individual patient data to estimate  $R_0$  instead of analysing weekly accumulated data. Moreover, they are extremely

useful in designing both regional and global policies. Public health departments will be able use such data and methods for future outbreaks of emerging and remerging disease.

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## Appendix I

### From the Vector SIR Model to the simple SIR model

The standard SIR vector model is given by the following system of non-linear and coupled differential equations where the equations (1), (2) and (3) describe the human population classified as susceptible ( $S_h$ ), infected ( $i_h$ ) and removed ( $R_h$ ); and equations (4) and (5) describe the mosquito population classified as susceptible ( $S_m$ ) and infected ( $i_m$ ) [16]. We assumed that the mortality, migration and natality were negligible because the short time scale of the epidemic occurrence.

$$\frac{dS_h(t)}{dt} = -\beta_{mh}S_h(t)i_m(t) \quad (1)$$

$$\frac{di_h(t)}{dt} = \beta_{mh}S_h(t)i_m(t) - \gamma_h i_h(t) \quad (2)$$

$$\frac{dR_h(t)}{dt} = \gamma_h i_h(t) \quad (3)$$

$$\frac{dS_m(t)}{dt} = \mu_m N_m - \beta_{hm}S_m(t)i_h(t) - \mu_m S_m(t) \quad (4)$$

$$\frac{di_m(t)}{dt} = \beta_{hm}S_m(t)i_h(t) - \mu_m i_m(t) \quad (5)$$

where

$$\begin{aligned} \beta_{hm} &\approx b\beta_{hm}^{\sim} \\ \beta_{mh} &\approx b\beta_{mh}^{\sim} \frac{N_m}{N_h} \end{aligned}$$

And the model parameters are as follows:

$b$ : biting rate.

$\beta_{h,m}$ : probability of effective transmission from human to mosquito by bite.

$\beta_{m,h}$ : probability of effective transmission from mosquito to human by bite.

$\gamma_h$ : per capita recovery rate of Zika infection in humans

$\mu_m$ : per capita mortality rate in mosquitoes.

$N_h$ : total human population

$N_m$ : total mosquito population.

We assume that the densities of susceptible mosquitoes and infected mosquitoes are constant over time and

obtain a simplified SIR model as below. That is,

$$i_m(t) = i_m \quad (6)$$

$$S_m(t) = S_m \quad (7)$$

Substituting (6) and (7) in (5) we obtain:

$$0 = \beta_{hm}S_m i_h(t) - \mu_m i_m \quad (8)$$

From (8), it follows that:

$$i_m = \frac{\beta_{hm}S_m i_h(t)}{\mu_m} \quad (9)$$

Using (6) and (9) in (1) we obtain:

$$\frac{dS_h(t)}{dt} = -\frac{\beta_{mh}S_h(t)\beta_{hm}S_m i_h(t)}{\mu_m} \quad (10)$$

Using (6) and (9) in (2) we obtain:

$$\frac{di_h(t)}{dt} = \frac{\beta_{mh}S_h(t)\beta_{hm}S_m i_h(t)}{\mu_m} - \gamma_h i_h(t) \quad (11)$$

Since  $S_m$  is assumed constant, we define average effective infectivity as in Pandey *et al.* (2013) [8]

$$\beta = \frac{\beta_{mh}\beta_{hm}S_m}{\mu_m} \quad (12)$$

Hence the equations (10) and (11) can be rewritten as:

$$\frac{d}{dt}S_h(t) = -\beta S_h(t)i_h(t) \quad (13)$$

$$\frac{d}{dt}i_h(t) = \beta S_h(t)i_h(t) - \gamma_h i_h(t) \quad (14)$$

Rewriting the equation (3)

$$\frac{d}{dt}R_h(t) = \gamma_h R_h(t) \quad (15)$$

It shows that the human population satisfies a simple SIR model given by equations (13), (14) and (15).

For the *effective* SIR model ((13), (14) and (15)) the basic reproduction number  $R_0$  is given by:

$$R_0^2 = \frac{S_h(0)\beta}{\gamma_h} \quad (16)$$

Substituting (12) in (16), we obtain an  $R_0$  which is similar to the reproduction number of the classic Ross–Macdonald model

$$R_0^2 = \frac{S_h(0)\beta_{mh}\beta_{hm}S_m}{\mu_m\gamma_h} \quad (17)$$

it is to say

$$R_0^2 \left[ = \frac{S_h(0)\beta_{mh}}{\gamma_h} \cdot \frac{\beta_{hm}S_m}{\mu_m} \right]$$

where  $\frac{S_h(0)\beta_{mh}}{\gamma_h}$  is the average number of new infected humans generated by an infectious mosquito, and  $\frac{S_m\beta_{hm}}{\mu_m}$  represents the average number of new infected mosquitoes generated by a single infected human.

### An approximate solution for the effective SIR model and obtaining $R_0$

The SIR model (13), (14) and (15) can be solved approximately: [14–16]

$$R(t) = \frac{\rho^2 \left( \frac{s}{\rho} - 1 - \alpha \tanh(-0.5\alpha\gamma_h t + \phi) \right)}{s} \quad (18)$$

where  $s = S_h(0)$ ; and

$$\alpha = \sqrt{\left( \frac{s}{\rho} - 1 \right)^2 + \frac{2s}{\rho^2}} \quad (19)$$

$$\phi = \frac{1}{2} \ln \left( \frac{\alpha\rho + s - \rho}{\alpha\rho - s + \rho} \right) \quad (20)$$

$$\rho = \frac{\gamma_h}{\beta} \quad (20A)$$

The saturation value obtained from (18) takes the form

$$R(\infty) = 2\rho \left( 1 - \frac{\rho}{s} \right) \quad (20B)$$

The equation (20B) gives the final total size of the outbreak. The equation (18) gives the evolution through the time of the accumulated cases of the infection. The equation (18) will be used to fix the observed trends of the epidemic.

**Algorithm to estimate the basic reproductive number** using NLREG® version 6.5 (P. Sherrod, TN, USA).

Title 'Cumulated cases of Zika 2015–2016';

Variables Day, CC;

Parameter gamma =0.02;

Parameter R =20;

Parameter s =100;

Double rho, alpha, phi;

rho=s/R;

alpha = ((s/rho-1)^2 + 2\*s/rho^2)^(1/2);

phi =1/2\*ln((alpha\*rho+s-rho)/(alpha\*rho-s+rho));

Function CC =rho^2/s\*(s/rho-1-alpha\*tanh(-.5\*alpha\*gamma\*Día+phi));

Plot xvar=Day, xlabel='Time (Day)', ylabel='Cumulated cases';

Plot;

rplot;

ITERATIONS 100;

CONFIDENCE 95;

Data;

Caceres			Nechi			Caucasia			Chigorodo			San Pedro Uraba			Carepa			Turbo			Necoli		
Time	Cum. Frec		Time	Cum. Frec		Time	Cum. Frec		Time	Cum. Frec		Time	Cum. Frec		Time	Cum. Frec		Time	Cum. Frec		Time	Cum. Frec	
1	1		1	1		1	1		1	1		1	1		1	1		1	1		1	1	
8	3		9	2		1	2		5	2		9	2		6	2		1	2		2	3	
12	4		10	3		4	3		8	3		11	3		7	4		3	3		3	4	
21	5		11	7		7	5		11	4		15	4		10	5		6	5		4	5	
29	7		12	8		8	9		15	5		23	5		11	6		7	7		8	6	
32	11		13	10		9	13		16	6		25	6		12	7		8	8		11	7	
33	12		15	12		10	14		18	7		27	7		14	8		10	9		12	10	
34	19		16	13		11	15		19	10		30	8		16	9		11	11		13	11	
35	20		17	16		12	16		21	12		31	9		17	11		12	12		14	12	
36	24		19	17		13	21		22	14		37	10		18	12		13	16		18	13	
37	27		20	19		14	22		23	19		40	11		20	13		14	17		21	14	
38	30		21	20		16	25		24	24		44	12		23	15		15	22		22	15	
39	32		22	21		17	28		26	26		45	14		24	19		16	26		24	16	
40	41		23	23		18	30		28	28		49	15		25	20		17	32		25	18	
41	44		25	24		19	31		29	29		50	16		26	21		18	34		26	21	
43	45		26	25		20	32		30	34		51	17		27	23		19	39		28	24	
$\gamma = 0.003$ $s = 1975$			29	26		21	33		31	35		59	18		30	26		20	43		29	26	
			40	27		22	35		32	40		67	19		30	27		21	49		36	30	
			47	28		26	36		34	42		81	20		31	29		22	59		37	31	
			50	29		28	38		35	46		83	21		32	31		23	64		40	34	
						29	39		36	48		84	22		34	35		24	71		52	35	
						32	40		37	57					35	39		25	78		73	36	
						34	41		38	65		$\gamma = 0.14$ (CI 95%: 0.10–.19)			36	46		26	82		$\gamma = 0.49$ (CI 95%: 0.29–0.69)		
					37	44		39	68		$s = 54.2$ (CI 95%: 36.27–72.24)			37	47		27	84		$s = 162.99$ (CI 95%: 47.13– 278.85)			
					40	46		40	73					38	49		28	86					
					41	48		41	82					39	50		29	93					
					43	49		42	88					40	52		30	102					
					44	50		43	92					41	53		31	107					
					45	51		44	97					42	54		32	116					
					47	53		45	102					43	55		33	124					
					49	54		46	105					45	56		34	128					
					51	55		47	110					47	58		35	135					
					59	56		48	114					48	61		36	144					
					63	57		49	116					49	65		37	154					
					86	58		50	119					50	67		38	161					
					$\gamma = 1.46$ (CI 95%: 1.21–71)			51	121					51	71		39	167					
					$s = 1133.97$ (CI 95%: 347.74– 1920.20)			52	123					52	74		40	170					
								53	125					53	76		41	171					
								54	126					54	77		42	173					
								55	127					55	79		43	178					
								56	129					56	81		44	180					
								57	130					57	85		45	183					
								58	133					60	87		46	189					
								59	135					66	90		47	191					
								60	138					68	92		50	194					

**Table** (Continued)

Caceres		Nechi		Caucasia		Chigorodo		San Pedro Uraba		Carepa		Turbo		Necolli	
Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.
1	1	1	1	1	1	62	141			70	93	52	198		
2	2	2	2	2	2	63	143			71	94	53	199		
3	3	3	3	3	3	64	144			79	96	54	202		
4	5	6	4	11	6	65	145			80	97	55	205		
7	7	7	6	14	7	66	146			83	98	57	211		
9	9	8	7	20	8	67	148			87	99	59	213		
10	10	9	9	21	9	68	153			88	100	61	214		
13	14	12	10	22	10	69	154			90	101	62	215		
14	16	13	11	26	11	72	157			$\gamma = 0.31$ (CI 95%: 0.27–0.35)		64	218		
15	17	19	12	29	12	79	158			$s = 316.25$ (CI 95%: 274.85–357.66)		65	219		
17	19	27	13	30	13	83	159					66	221		
18	20	30	14	31	15	94	160					67	222		
21	23	32	16	33	18	95	162					71	223		
22	27	33	17	35	20	$\gamma = 0.15$ (CI 95%: 0.12–0.19)						73	224		
23	32	34	18	40	21	$s = 320.21$ (CI 95%: 303.56–336.87)						75	225		
24	33	35	20	41	22							76	226		
												81	227		
												91	228		
												$\gamma = 0.71$ (CI 95%: 0.64–0.79)			
												$s = 940.04$ (CI 95%: 833.95–1046.14)			

Apartado		Medellín		Bello		Remedios		Puerto Berrío		Sopetran		Puerto Triunfo		Envigado	
Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.
1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
1	2	2	2	1	2	4	2	2	2	7	2	9	2	1	1
3	3	3	3	10	4	6	3	5	3	14	3	12	3	4	2
4	5	6	4	11	6	16	4	8	4	25	4	14	4	9	3
10	7	7	6	14	7	18	5	9	5	27	5	18	7	10	4
11	9	8	7	20	8	19	7	10	6	30	6	19	8	17	6
12	10	10	9	21	9	21	8	12	7	35	7	20	10	23	7
13	14	12	10	22	10	24	9	13	8	46	8	23	11	26	8
14	16	13	11	26	11	27	11	14	9	47	9	24	12	29	9
15	17	19	12	29	12	30	12	15	10	50	10	25	14	34	11
17	19	27	13	30	13	36	13	16	11	52	11	26	16	39	12
18	20	30	14	31	15	37	14	17	12	54	12	27	17	43	13
19	21	31	15	32	17	41	16	18	13	57	13	28	18	50	14
21	23	32	16	33	18	45	17	22	14	63	14	29	19	58	15
22	27	33	17	35	20	48	18	23	15	70	15	32	21	60	16
23	32	34	18	40	21	$\gamma = 0.26$ (CI 95%: 0.12–0.41)		28	16	73	16	38	22	63	18
24	33	35	20	41	22			29	17	77	17	45	23		



**Table** (Continued)

Apartado	Medellfn		Bello		Remedios		Puerto Berrfio		Sopetran		Puerto Triunfo		
	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	
25	36	21	45	24	$s = 88.33$ (CI 95% 72.66–249.32)	30	43	47	24	69	19		
26	41	22	46	25		31	45	$\gamma = 0.16$ (CI 95%: 0.09–0.22) $s = 229.12$ (CI 95%: 33.09– 1191.35)	55	25	93	21	
27	47	23	50	26		33	46		56	26	$\gamma = 0.35$ (CI 95%: 0.27–0.43) $s = 730.80$ (CI 95%: 57.81– 3419.42)		
28	51	24	56	27		34	50		59	30			
29	56	25	59	28		36	51		61	31			
30	59	26	61	29	38	52	63		32	Itagui	Time	Cum. Frec	
31	67	27	68	30	39	53	64	33					
32	69	28	69	31	44	54	69	34					
33	79	29	81	32	53	55	69	35					
34	88	30	$\gamma = 0.27$ (CI 95%: 0.19–0.35)	32	57	56	78	36					
35	98	32	$s = 106.40$ (CI 95%: 61.71– 151.09)	$\gamma = 0.94$ (CI 95%: 0.64–1.24) $s = 318.73$ (CI 95%: 146.13– 491.32)	61	57	82	37	1	2			
36	107	35			62	58	86	38	2	3			
37	109	38			$\gamma = 0.46$ (CI 95%: 0.33–0.60) $s = 415.66$ (CI 95%: 75.77– 907.10)	$\gamma = 0.64$ $s = 1016594.04$	87	39	8	4			
38	117	44					11	5					
39	120	48					12	6					
40	121	53	13	8									
41	131	56	19	11									
42	145	60	20	12	20	12	20	12	21	13			
43	156	62	22	14	22	14	22	14	23	15			
44	162	65	25	16	25	16	25	16	27	17			
45	167	68	27	17	27	17	27	17	29	18			
46	171	73	29	18	29	18	29	18	35	19			
47	176	76	31	19	31	19	31	19	41	20			
49	182	78	33	21	33	21	33	21	46	21			
50	186	82	35	23	35	23	35	23	48	23			
51	192	85	37	25	37	25	37	25	49	24			
52	199	92	39	27	39	27	39	27	51	25			
53	205	97	41	29	41	29	41	29	55	27			
54	207	100	43	31	43	31	43	31	56	28			
55	212	106	45	33	45	33	45	33	58	29			
56	213	110	47	35	47	35	47	35	60	31			
57	214	113	49	37	49	37	49	37	66	32			
58	221	118	51	39	51	39	51	39	75	34			
59	226	121	53	41	53	41	53	41	78	35			
60	230	128	55	43	55	43	55	43	81	37			
61	234	133	57	45	57	45	57	45	$\gamma = 0.64$	Rionegro	Time	Cum. Frec	
62	239	137	59	47	59	47	59	47	$s = 1016594.04$				
63	242	142	61	49	61	49	61	49	1				1
64	245	145	63	51	63	51	63	51					
65	250	148	65	53	65	53	65	53					
66	256	153	67	55	67	55	67	55					
69	264	167	70	57	70	57	70	57					
70	269	170	71	59	71	59	71	59					

**Table** (Continued)

Apartado		Medellín		Bello		Remedios		Puerto Berrio		Sopetran		Puerto Triunfo	
Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.
71	273	145	193									5	2
72	277	146	198									8	3
73	281	147	204									12	4
74	284	148	207									13	5
76	287	149	212									17	6
77	289	150	217									18	7
79	290	151	221									27	8
80	292	152	229									28	9
81	293	153	232									36	10
83	294	154	233									39	11
86	296	155	236									40	12
87	300	156	239									44	13
88	301	157	242									54	14
89	303	158	243									56	15
91	305	159	247									75	16
92	306	160	251									98	17
93	307	161	258									$\gamma = 0.37$ (CI 95%: 0.30–0.45) $s = 241.44$ (CI 95%: 130.69– 613.58)	
94	308	162	263										
97	310	163	264										
99	311	164	269										
		165	270										
$\gamma = 0.67$ (CI 95%: 0.60–0.75) $s = 1658.62$ (CI 95%: 1430.19– 1887.06)		166	271										
		167	273										
		168	275										
		170	278										
		171	279										
		172	282										
		173	285										
		174	286										
		176	287										
		177	289										
		179	290										
		180	292										
		181	295										
		182	298										
		183	299										
		186	301										
		187	303										
		188	306										
		190	309										
		191	310										
		192	313										
		193	315										
		195	316										
		196	320										

**Table** (Continued)

Apartado	Medellín		Bello		Remedios		Puerto Berrío		Sopetran		Puerto Triunfo	
	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.	Time	Cum. Frec.
	197	322										
	198	325										
	199	329										
	200	334										
	201	338										
	202	339										
	203	340										
	204	342										
	206	345										
	207	346										
	210	347										
	$\gamma = 0.002$											
	$s = 3887.26$											

Database of Public Health Surveillance System (SIVIGILA) of Antioquia, Colombia. Estimated parameters:  $\gamma$  per capita recovery rate;  $s$ , susceptible.

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